



Attorney Docket No. 5308-311

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gerald H. Negley et al.  
Application Serial No.: 10/659,108  
Filed: September 9, 2003  
For: SOLID METAL BLOCK MOUNTING SUBSTRATES FOR SEMICONDUCTOR  
LIGHT EMITTING DEVICES, AND OXIDIZING METHODS FOR  
FABRICATING SAME

November 11, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)**

Sir:

Attached is a form PTO-1449, together with a copy of each of the identified document(s). It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP. This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 11, 2003.

Susan E. Freedman  
Date of Signature: November 11, 2003

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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Sheet

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## Complete if Known

|                        |                  |
|------------------------|------------------|
| Application Number     | 10/659,108       |
| Filing Date            | 09/09/2003       |
| First Named Inventor   | Gerald H. Negley |
| Group Art Unit         |                  |
| Examiner Name          |                  |
| Attorney Docket Number | 5308-311         |

## U.S. PATENTS AND PATENT PUBLICATIONS

| Examiner Initials* | Cite No. | U.S. Patent Document |                         | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document<br>MM-DD-YYYY |
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Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| Substitute form 1449A/PTO  |   |    |   | <b>Complete if Known</b> |                  |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(use as many sheets as necessary)</i> |   |    |   | Application Number       | 10/659,108       |
|  |   |    |   | Filing Date              | 09/09/2003       |
|  |   |    |   | First Named Inventor     | Gerald H. Negley |
|  |   |    |   | Group Art Unit           |                  |
|  |   |    |   | Examiner Name            |                  |
| Sheet  | 2 | of | 2 | Attorney Docket Number   | 5308-311         |

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## OTHER NON PATENT LITERATURE DOCUMENTS

Examiner Signature \_\_\_\_\_ Date Considered \_\_\_\_\_

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